

Unusual Incident Report

January - December 2021, with Ten-Year Trends from 2012 - 2021

Introduction

The Unusual Incident System maintains information on incidents that occur within the New York State Department of Corrections and Community Supervision (DOCCS). Unusual incidents are serious occurrences that may impact upon or disrupt facility operations, have the potential for disrupting the Department's public image, or might arouse widespread public interest. All unusual incidents are reported by telephone to the DOCCS Communication Control Center located in Albany and entered into the computer system via terminals at correctional facilities.

The reporting system is capable of recording information on more than one type of unusual incident that occurs as part of a series of events. This system can also identify all incarcerated individuals and staff listed in incidents, along with information about them, such as whether an injury was sustained by an incarcerated individual or a staff member, whether an incarcerated individual used a weapon, or whether a staff member used force.

This report includes information on unusual incidents that occurred during the current reporting year (January – December 2021), along with comparisons to trends in unusual incidents in the past ten years (2012 - 2021). This report includes incidents at all DOCCS facilities, including drug treatment and parole diversion facilities. Previous versions of this report excluded those facilities, so historical data presented has changed slightly.

Table 1. Unusual Incident Type by Year: 2012 - 2021

				71.						
Incident Type	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021
Accident	546	583	583	533	544	572	581	589	372	403
Assault on Incarcerated Individuals	654	773	864	919	1,138	1,227	1,166	1,267	1,206	1,107
Assault on Other	5	7	8	7	13	9	11	12	5	3
Assault on Staff	540	655	755	906	771	825	990	1,043	1,052	1,177
Contagious Disease	1	0	0	0	0	0	0	14	263	622
Contraband*	2,556	2,976	3,640	4,766	4,765	5,257	5,363	6,153	5,681	4,576
Death	123	145	132	124	152	158	143	118	130	147
Disruption of Facility	7	4	10	7	11	14	19	19	33	17
Disruptive Behavior	477	522	516	572	636	957	1,282	1,754	1,723	1,841
Employee Job Action	1	0	0	0	0	2	1	0	1	0
Employee Misconduct	140	121	118	117	109	94	103	94	70	57
Escape	1	1	0	2	1	0	1	0	1	0
Escape Attempt	2	1	2	1	1	2	5	4	5	2
Fire	22	34	16	30	24	25	22	25	55	44
Mass Demonstration	0	0	1	0	2	1	1	2	5	3
Narcan Use	0	0	0	0	0	0	0	282	433	529
Property Destroyed	14	3	3	4	3	8	5	10	9	8
Property Lost or Stolen	78	76	57	70	80	86	96	73	43	71
Self-Inflicted Injury	114	76	93	73	77	114	116	78	58	56
Sexual Misconduct	53	51	58	70	39	40	45	34	15	15
Suicide Attempt	145	160	182	157	188	146	149	206	178	178
Temp Release Related	36	29	28	17	23	43	32	20	144	47
Visitor Arrest	126	107	126	172	393	475	410	409	202	193
Weapons Used by Staff	143	203	191	205	293	714	1,217	1,847	1,929	1,934
Other Incidents	361	425	415	433	583	415	421	417	323	440
Total	6,145	6,952	7,798	9,185	9,846	11,184	12,179	14,470	13,936	13,470

^{*}Under contraband reporting procedures implemented in August 2021, drug contraband is not entered on the UI system until after confirmation by outside laboratory analysis. As a result, many potential drug recoveries since that time are not reported here.

Table 1 displays the number of unusual incidents by type from 2012 through 2021. The number of unusual incidents has increased 119% from 6,145 in 2012 to 13,470 in 2021. These higher incident numbers were largely due to increases in assaults on incarcerated individuals (+69%), assaults on staff (+118%), contraband (+79%), disruptive behavior (+286%), and staff use of weapons (+1,252%).

The total number of unusual incidents decreased by 3% between 2020 and 2021, with the largest decrease in contraband incidents (-1,105; -19%). In previous years, contagious diseases, Narcan use, and visitor arrests were reported under 'Other' incidents.

Table 2. Other Unusual Incidents by Type and Year: 2012 - 2021

Table 2. Other onasuari					ia i ce			2021		
Incident Type	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021
AED Use	52	67	66	81	128	119	98	101	87	110
Arrest of Non-Releasee/Non-Employee	0	1	1	1	0	0	2	1	1	0
Body Fluid/Human Waste- Attempted Assault	1	3	2	4	5	7	7	9	1	6
Drone	0	0	0	0	0	0	0	4	5	4
Employee Order of Protection	0	0	0	0	0	0	0	15	11	12
Erroneous Release	3	2	1	6	4	1	1	2	2	1
Family Reunion Visit Other	2	3	6	3	3	3	1	2	0	0
Family Reunion Visit Termination	13	8	5	5	11	8	11	7	2	1
Hunger Strike	26	16	24	30	26	33	26	23	20	18
Medical Emergency	2	2	9	7	1	1	0	0	3	3
Overall Facility Search	4	9	6	8	9	5	6	6	1	7
Pregnant Incar Indiv Shackled	0	0	0	0	0	1	1	2	0	3
Pregnant Incar Indiv- Staff in Delivery Room	0	0	0	0	2	0	3	0	0	0
Restraint Chair Use	4	5	7	3	6	12	5	3	5	8
Significant Exposure	31	35	38	46	49	42	56	61	64	66
Spit Net Use	50	63	65	81	85	81	108	113	83	127
Visitor Limited Visual Search	161	200	165	144	222	74	82	56	33	65
Visitor Strip Search	2	0	1	3	4	1	1	2	0	0
Other	10	11	19	11	28	27	13	10	5	9
Total	361	425	415	433	583	415	421	417	323	440

Table 2 presents the specific unusual incident types that are combined together under the category of "Other" incidents in Table 1. There were 440 other incidents in 2021, compared to 361 in 2012, an increase of 22%. The largest category among other incidents was spit net use, followed by AED use.

Table 3. Select Incidents by Facility, January - December 2021

I able			by Facility,		December 2		
Correctional Facility	Assault on	Assault	Contraband	Disruptive	Weapons	All	Total
	Incar. Indiv.	on Staff		Behavior	Used by Staff	Other	Incidents
Adirondack	0	0	2	1	0	6	9
Albion	2	4	43	9	7	49	114
Altona	0	3	20	1	2	17	43
Attica	40	54	170	131	115	114	624
Auburn	81	60	292	73	85	68	659
Bare Hill	10	6	75	11	10	38	150
Bedford Hills	3	33	18	9	4	61	128
Cape Vincent	10	5	55	16	17	25	128
Cayuga	8	9	50	22	23	41	153
Clinton	112	55	285	200	232	164	1,048
Collins	22	52	201	58	59	65	457
Coxsackie	13	54	122	44	52	91	376
Downstate	19	21	41	23	16	93	213
Eastern	9	6	46	7	10	42	120
Edgecombe	0	0	1	0	0	10	11
Elmira	97	57	171	57	92	109	583
Fishkill	17	43	133	71	62	142	468
Five Points	58	68	152	106	105	82	571
Franklin	52	6	95	34	26	42	255
Gouverneur	11	9	84	14	12	32	162
Gowanda	0	0	2	2	1	14	19
Great Meadow	53	71	351	172	184	93	924
Green Haven	69	90	255	90	140	165	809
Greene	104	41	142	49	53	78	467
Groveland	35	15	83	26	13	67	239
Hale Creek	0	3	21	3	7	15	49
Hudson	0	1	21	0	0	22	44
Lakeview	0	3	7	9	9	26	54
Marcy	23	37	236	102	121	49	568
Mid-State	35	53	226	72	60	122	568
Mohawk	19	17	163	29	27	90	345
Moriah Shock	0	0	1	0	0	10	11
Ogdensburg	1	1	14	4	1	18	39
Orleans	5	2	123	23	24	31	208
Otisville	4	5	20	9	8	33	79
Queensboro	1	1	5	0	1	43	51
Riverview	10	12	26	10	12	36	106
Rochester	0	0	4	0	0	6	10
Shawangunk	9	13	78	12	10	48	170
Sing Sing	44	78	179	40	51	103	495
Southport	10	66	59	46	43	57	281
Sullivan	8	34	53	50	53	58	256
Taconic	1	1	9	1	1	17	30
Ulster	1	2	26	3	1	26	59
Upstate	5	20	61	70	56	46	258
Wallkill	8	10	14	7	6	16	61
Washington	40	16	115	18	16	39	244
Watertown	0	0	1	0	0	3	4
Wende	23	30	116	64	66	94	393
Willard	0	0	10	2	1	21	34
Woodbourne	1	2	31	13	14	45	106
Wyoming	34	8	68	23	21	50	204
Central Office	0	0	0	5	5	3	13
Total	1,107	1,177	4,576	1,841	1,934	2,835	
1 Stai	1,107	1,177	7,570	1,041	1,334	2,000	10,410

The types of contraband found in DOCCS facilities in the ten-year period from 2012 to 2021 is presented in Table 4A. Between 2012 and 2021, the total number of contraband incidents increased by 79%. Between 2020 and 2021, the number of contraband incidents decreased by 19%. Aside from a few incidents, synthetic drugs were not reported until 2013. In 2021, synthetic drugs accounted for 41% of the drug contraband incidents, a decrease from 2020 when synthetic drugs comprised 47% of the drug contraband incidents. New contraband reporting procedures were implemented in August 2021. Suspected drug contraband is no longer reported as a UI until after confirmatory analysis by an outside laboratory. As a result of this change, many potential drug recoveries since that time are not reflected in this report.

Between 2012 and 2021, besides synthetic drugs, the largest contraband increases were among prescription drugs (+213%) and cutting/stabbing weapons (+64%). During that time, cell phone recoveries decreased by 30%.

Table 4B displays the type of contraband recovered at each DOCCS facility during 2021.

Table 4A. Contraband Incidents by Type: 2012 - 2021

Table 4A. Co									1	
Contraband Type	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021
Alcohol										
Commercial Alcohol	6	14	14	9	5	11	10	15	7	11
Homemade Alcohol	0	4	5	0	3	5	4	13	16	44
Sub-total	6	18	19	9	8	16	14	28	23	55
Drug										
Cocaine	15	27	26	43	50	54	31	58	24	27
Fentanyl	0	0	0	0	0	0	0	8	18	56
Heroin	47	55	66	82	78	58	52	38	37	16
Illegal Drug	2	4	0	0	0	0	0	0	0	0
Marijuana	548	526	480	523	675	668	557	513	314	233
Prescription Drug	239	345	417	609	800	951	969	984	1,103	747
Synthetic Drug	2	248	678	1,108	838	1,108	1,228	1,919	1,681	916
Other Drug	147	71	62	98	85	102	105	131	401	262
Sub-total	1,000	1,276	1,729	2,463	2,526	2,941	2,942	3,651	3,578	2,257
Electronic Device										
Electronic Device	7.4	50	00	70	70	50	5 4	0.5	00	50
Cell Phone	74	59	62	72	72	56	54	65	36	52
Media Storage: CD/DVD/USB	26	26	17	27	22	26	23	31	7	10
Other Electronic Device	34	15	32	54	41	35	33	50	28	57
Sub-total	134	100	111	153	135	117	110	146	71	119
Weapon Cut/Stab										1
Can Lid	176	231	237	267	216	136	149	150	130	140
Cutting Instrument	188	206	337	478	457	514	512	452	348	338
Hardback Razorblade	9	8	7	6	11	3	4	6	3	2
Ice Pick	180	242	261	324	279	259	257	252	276	
Manufactured Knife	21	20	17	32	33	16	26	31	22	18
Razor Blade	258	260	271	323	354	362	345	366	273	262
Scalpel/Exacto Blade	97	68	106	140	193	242	262	224	153	167
Shank	204	227	244	211	205	263	262	343	370	421
Toothbrush	77	96	90	97	101	142	233	261	251	292
	9	25	19	20	19	24	233	201	15	292
Utility Razorblade Sub-total								2,107		2,000
Sub-total	1,219	1,303	1,509	1,090	1,000	1,961	2,070	2,107	1,041	2,000
Weapon Other										
Ammunition	14	7	6	9	10	7	9	20	1	3
Explosive	0	0	0	0	1	0	0	0	0	0
Manufactured Gun	1	0	1	1	0	1	1	1	0	1
Weighted Cloth	41	54	59	68	56	59	70	56	72	64
Other Weapons	32	31	20	38	24	22	21	27	23	19
Sub-total	88	92	86	116	91	89	101	104	96	87
Other Constrained										
Other Contraband	7.	70	.00	00	٥.	- 00	0.5	70	40	-00
Cash	71	70	60	80	85	88	65	78	43	26
Escape Paraphernalia	0	0	0	0	0	0	0	2	6	3
Hypodermic Needle/Syringe	6	8	12	11	17	12	14	5	4	
Other	32	29	34	36	35	33	47	32	19	26
Sub-total	109	107	106	127	137	133	126	117	72	58
Grand Total	2,556	2,976	3,640	4,766	4,765	5,257	5,363	6,153	5,681	4,576
	,		,	,	,	. ,	, ,	_ ,	. ,	

Under contraband reporting procedures implemented in August 2021, drug contraband is not entered on the UI system until after confirmation by outside laboratory analysis. Most potential drug recoveries since that time are not reported here.

	ımber of (Contraba			ility: January - December 2				
Facility and	Alcohol	Drug	Electronic	_	Weapon	Other	Total		
Security			Device	Cut/Stab	Other	Contraband			
Adirondack	0	0	1	1	0	0			
Albion	0	43	0	0	0	0	43		
Altona	0	17	0	3	0	0	20		
Attica	0	77	4	86	3	0	170		
Auburn	4	72	1	209	4	2	292		
Bare Hill	0	55	0	19	1	0	75		
Bedford Hills	0	12	1	4	0	1	18		
Cape Vincent	0	38	0	15	2	0	55		
Cayuga	0	34	0	16	0	0	50		
Clinton	0	67	3	209	2	4	285		
Collins	0	131	0	62	5	3	201		
Coxsackie	1	41	19	60	1	0	122		
Downstate	0	10	9	19	1	2	41		
Eastern	3	19	5	18	1	0	46		
Edgecombe	0	0	0	0	0	1	1		
Elmira	1	43	3	122	2	0	171		
Fishkill	4	56	7	59	2	5	133		
Five Points	0	45	0	102	5	0	152		
Franklin	0	50	0	43	2	0	95		
Gouverneur	0	57	3	21	3	0	84		
Gowanda	0	0	0	2	0	0	2		
Great Meadow	1	152	5	186	7	0	351		
Green Haven	20	64	8	147	6	10	255		
Greene	0	72	4	61	4	10	142		
Groveland	2	41	0	35	4	1	83		
Hale Creek	0	21	0	0	0	0	21		
Hudson	0	12	2	1	0	6	21		
Lakeview	0	0	0	7	0	0	7		
Marcy	0	201	0	30	4	1	236		
Mid-State	0	179	0	39	7	1	226		
Mohawk	1	119	1	39	2	1	163		
Moriah Shock	0	1	0	0	0	0	103		
Ogdensburg	0	14	0	0	0	0	14		
Orleans	1	110	0	12	0	0	123		
Otisville	0	12	3	5	0	0	20		
Queensboro	0	3	1	0	0	1	_		
Riverview	0	5	1	18	1	1	5 26		
Rochester	1	2	1	0	0	0	4		
Shawangunk	2	53	5	15	1	2	78		
Sing Sing	9	58	22	85	1	4			
Southport	0	14	1	41	0	3	59		
	2		7	18	2	3			
Sullivan		21 7					53		
Taconic	0	-	0	2	0	0	9		
Ulster	0	8	0	17	1	0	26		
Upstate	0	43	0	14	1	3	61		
Wallkill	0	6	1	5	2	0			
Washington	0	71	0	38	6	0	115		
Watertown	0	1	0	0	0	0	1		
Wende	2	34	1	79	0	0	116		
Willard	0	5	0	4	1	0	10		
Woodbourne	0	25	0	5	0	1	31		
Wyoming	1	36	0	27	3	1	68		
Total	55	2,257	119	2,000	87	58	4,576		

Under contraband reporting procedures implemented in August 2021, drug contraband is not entered on the UI system until after confirmation by outside laboratory analysis. Most potential drug recoveries since that time are not reported here.

Table 5. Unusual Incident Type by Location: January - December 2021

Incident Type	Admin Areas	Assembly or Gym	Hospital	Housing Unit	Montal	Mess Hall	Outside Facilty	Shop or Class	SHU	Visit/ Process	Walkway or Corridor	Yard	Other	Total
Accident	10	20	26	131	6	18	43	14	10	0	34	67	24	403
Assault on Incarcerated Individuals	0	15	4	582	12	58	7	11	7	0	114	276	21	1,107
Assault on Staff	3	8	74	437	145	30	12	12	259	4	114	18	61	1,177
Assault on Other	0	0	0	0	0	0	1	0	0	1	1	0	0	3
Contagious Disease	67	14	2	474	1	0	0	0	0	0	0	38	26	622
Contraband	610	23	100	1,607	83	94	23	32	157	756	287	309	495	4,576
Death	1	0	36	35	5	0	66	0	2	0	1	1	0	147
Disruption of Facility	5	0	0	2	0	0	4	0	0	4	0	0	2	17
Disruptive Behavior	1	14	83	752	156	94	15	16	285	4	125	231	65	1,841
Employee Misconduct	5	0	0	0	0	1	49	2	0	0	0	0	0	57
Escape Attempt	0	0	0	0	0	0	0	0	0	0	1	0	1	2
Fire	0	0	1	34	4	0	1	1	3	0	0	0	0	44
Mass Demonstration	0	0	0	1	0	1	0	0	0	0	0	0	1	3
Narcan Use	4	1	158	231	16	7	6	1	47	2	21	30	5	529
Property Destroyed	0	0	4	1	2	0	0	0	1	0	0	0	0	8
Property Lost or Stolen	11	0	12	4	0	1	20	6	0	0	2	0	15	71
Self-Inflicted Injury	0	0	7	18	26	0	0	0	2	0	0	0	3	56
Sexual Misconduct	0	1	1	3	1	0	0	1	0	5	1	1	1	15
Suicide Attempt	0	0	7	66	37	0	2	0	64	0	0	1	1	178
Temp Release Related	0	0	0	0	0	0	47	0	0	0	0	0	0	47
Visitor Arrest	114	0	0	0	0	0	1	0	0	69	1	0	8	193
Weapons Used by Staff	2	19	39	820	124	115	12	18	230	4	153	320	78	1,934
Other Incidents	120	60	44	69	3	6	2	0	12	68	7	24	25	440
Total	773	101	1,095	4,911	663	423	371	116	1,141	917	867	1,260	832	13,470
Percent	6%	1%	8%	36%	5%	3%	3%	1%	8%	7%	6%	9%	6%	100%

^{*} Mental Health Units include: Residential Mental Health Unit (RMHU), Behavioral Health Unit (BHU), Theraputic Behavioral Unit (TBU), Intermediate Care Program (ICP) and OMH Residential Crisis Treatment Program Units (RCTP, also refers to OMH Satellite Units).

Table 5 displays incident type by location for 2021. For most incident types, the most frequent incident location was housing units. This was the case for assaults on incarcerated individuals, assaults on staff, contraband, disruptive behavior, and several other incident types.

Table 6. Unusual Incident Type by Incarcerated Individual Weapon Use: January - December 2021

Incident Type	No Weapon Used	Body as Weapon	Clubs	Cut/Stab Weapon	Garrotte	Human Waste/ Fluids	Metal Objects	Other	Unknown	Total Individuals
Accident	340	0	0	0	0	0	0	0	0	340
Assault on Incarcerated Individuals	936	206	61	954	0	5	17	61	22	2,262
Assault on Staff	68	874	27	10	0	90	7	229	0	1,305
Assault on Other	0	2	0	0	0	0	0	1	0	3
Contagious Disease	5,311	0	0	0	0	0	0	0	0	5,311
Contraband	4,652	12	0	16	0	0	0	0	0	4,680
Death	140	0	0	0	11	0	0	2	0	153
Disruption of Facility	7	0	0	0	0	0	0	0	0	7
Disruptive Behavior	1,149	2,449	1	3	0	1	3	2	0	3,608
Employee Misconduct	4	0	0	0	0	0	0	0	0	4
Escape Attempt	2	0	0	0	0	0	0	0	0	2
Fire	123	0	0	0	0	0	0	0	0	123
Mass Demonstration	42	0	0	0	0	0	0	0	0	42
Narcan Use	549	2	0	0	0	0	0	0	0	551
Property Destroyed	7	0	0	0	0	0	0	0	0	7
Property Lost or Stolen	63	0	0	0	0	0	0	0	0	63
Self-Inflicted Injury	4	2	0	33	0	0	0	10	6	55
Sexual Misconduct	24	0	0	0	0	0	0	0	0	24
Suicide Attempt	21	0	0	46	98	0	0	18	4	187
Temp Release Related	47	0	0	0	0	0	0	0	0	47
Visitor Arrest	198	0	0	0	0	0	0	0	0	198
Weapons Used by Staff	3,185	1	0	0	0	0	0	0	0	3,186
Other Incidents	450	0	0	0	0	9	0	1	0	460
Total	17,322	3,548	89	1,062	109	105	27	324	32	22,618
Percent	77%	16%	0%	5%	0%	0%	0%	1%	0%	100%

Table 6 details the weapons used by incarcerated individuals in unusual incidents. For DOCCS reporting purposes, use of body, such as fists or kicks, is considered as a type of weapon in classifying incidents. Previous tables in this report were incident-based. This section of the report focuses on all incarcerated individuals involved in incidents. There were 22,618 instances where incarcerated individuals were associated with unusual incidents in 2021; these are not 22,618 unique incarcerated individuals. Many incarcerated individuals are involved in multiple incidents; the numbers presented here reflect each instance where an incarcerated individual is connected to an incident.

As shown in Table 6, no weapons were used by 17,322 (77%) of the incarcerated individuals linked to incidents, while weapons were used by 5,296 (23%) of the individuals. Of the 5,296 individuals who used a weapon (22,618 – 17,322), 3,548 (67%) used a fist, kick or other body attack. Of those using weapons, 1,062 incarcerated individuals (20%) used cutting or stabbing weapons.

Table 7. Unusual Incident Type by Most Serious Level of Staff Use of Force:

January - December 2021

Incident Type	No	Body	Mechanical	Shield	Strike	Chemical	Baton	Firearm	Other	Total
7.	Force	Hold	Restraint			Agents				
Accident	403	0	0	0	0	0	0	0	0	403
Assault on Incarcerated Individuals	869	45	0	0	0	174	19	0	0	1,107
Assault on Staff	368	371	56	10	94	244	31	0	3	1,177
Assault on Other	3	0	0	0	0	0	0	0	0	3
Contagious Disease	622	0	0	0	0	0	0	0	0	622
Contraband	4,475	76	6	2	4	11	2	0	0	4,576
Death	147	0	0	0	0	0	0	0	0	147
Disruption of Facility	17	0	0	0	0	0	0	0	0	17
Disruptive Behavior	254	170	5	63	8	1,302	31	0	8	1,841
Employee Misconduct	57	0	0	0	0	0	0	0	0	57
Escape Attempt	1	1	0	0	0	0	0	0	0	2
Fire	40	2	0	0	0	2	0	0	0	44
Mass Demonstration	3	0	0	0	0	0	0	0	0	3
Narcan Use	515	13	1	0	0	0	0	0	0	529
Property Destroyed	8	0	0	0	0	0	0	0	0	8
Property Lost or Stolen	71	0	0	0	0	0	0	0	0	71
Self-Inflicted Injury	55	1	0	0	0	0	0	0	0	56
Sexual Misconduct	15	0	0	0	0	0	0	0	0	15
Suicide Attempt	160	6	2	3	0	6	1	0	0	178
Temp Release Related	47	0	0	0	0	0	0	0	0	47
Visitor Arrest	193	0	0	0	0	0	0	0	0	193
Weapons Used by Staff	1,904	0	0	0	1	27	1	1	0	1,934
Other Incidents	368	47	6	1	1	1	0	0	16	440
TOTAL	10,595	732	76	79	108	1,767	85	1	27	13,470
Percent	79%	5%	1%	1%	1%	13%	1%	0%	0%	100%

Note: This table is based upon a count of the total number of incidents and the highest level of force used in each incident and is not a count of all the times that staff used force.

Tables 7 and 8 detail the degree of staff force that was used in unusual incidents. If more than one type of staff force was used in an incident, the most serious type was selected. Staff force was used in 2,875 (21%) incidents in 2021.

Table 7 displays the most serious type of staff force used in each of the incident types that occurred in 2021. No staff force was used in 10,595 (79%) incidents, while force was used in 2,875 (21%) incidents. Of the 2,875 incidents where force was used (13,470 – 10,595), 1,767 (61%) involved the use of chemical agents.

Table 8 displays staff use of force for the ten-year period from 2012 to 2021. In 2012, there were 6,145 unusual incidents, with staff force used in 868 (14%) of the incidents. In 2021, the number of incidents where staff force was used increased to 2,875, or 21% of all incidents. Between 2020 and 2021, incidents with staff use of force increased by 10%, from 2,611 to 2,875, while the proportion of incidents with staff use of force increased from 19% to 21%. Chemical agent use increased by 2%, from 1,735 in 2020 to 1,767 in 2021, and body hold use increased 33% from 552 to 732. Mechanical Restraint and baton use declined between 2020 and 2021.

Table 8. Staff Use of Force in Unusual Incidents by Security Level: 2012 - 2021

Table 8. Staff										
Type of Force	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021
Maximum Security										
No Force Used	3,193	3,505	3,554	3,939	4,424	5,302	5,705	6,618	6,125	6,178
Body Hold	345	367	378	415	371	394	466	491	402	563
Mechanic Restraint	77	70	69	88	56	61	58	51	65	55
Shield	48	50	53	59	70	77	84	66	58	72
Strike	87	120	155	165	70	62	57	56	62	89
Chemical Agents	53	60	51	49	180	508	886	1,167	1,168	1,232
Baton	85	126	120	133	83	106	101	99	91	84
Firearm	0	120	2	6	2	4	0	4	1	04
Other	7	17	19	15	24	25	10	8	7	18
Sub-total	3,895	4,316	4,401	4,869	5,282	6,539	7,367	8,560	7,979	8,292
	3,033	4,510	7,701	4,003	3,202	0,555	7,307	0,300	1,313	0,232
Medium Security										
No Force Used	1,906	2,261	2,902	3,821	4,148	4,102	4,143	4,925	4,904	4,213
Body Hold	110	128	167	225	180	179	192	168	138	
Mechanic Restraint	18	24	37	39	24	31	21	29	17	21
Shield	4	3	4	2	3	1	7	6	3	7
Strike	9	21	20	30	17	20	23	10	11	19
Chemical Agents	2	1	1	1	7	41	159	492	559	524
Baton	1	3	12	14	6	6	4	6	1	1
Other	0	7	3	5	3	2	6	5	3	4
Sub-total	2,050	2,448	3,146	4,137	4,388	4,382	4,555	5,641	5,636	4,955
Minimum Security										
No Force Used	128	121	156	109	96	142	165	170	230	164
Body Hold	1	10	16	12	5	16	8	16	5	2
Mechanic Restraint	0	2	1	1	1	1	0	1	0	0
Strike	0	1	2	2	2	3	1	0	0	Ö
Chemical Agents	0	0	0	0	0	0	1	0	7	10
Baton	0	0	0	0	0	2	0	0	0	0
Firearm	0	0	0	0	0	1	0	0	0	0
Sub-total	129	134	175	124	104	165	175	187	242	176
Other	50	40	0.5	4.5	00	77	00	00	00	40
No Force Used	50	42	65	45	63	77	63	69	66	40
Body Hold	16 3	/	9	5 3	1	15	17	6 3	1	1
Mechanic Restraint		3	1		•	3	0			0
Strike	2	2	1	2	1	3	2	1	0	0
Chemical Agents			0	0	0		0	1 2	1	-
Other Sub-total	0 71	0 54	0 76	0 55	72	9 8	0 82	∠ 82	4 79	5 47
Sub-total	/ 1	54	76	55	12	90	02	02	19	41
All Security Levels										
No Force Used	5,277	5,929	6,677	7,914	8,731	9,623	10,076	11,782	11,325	
Body Hold	472	512	570	657	563	604	683	681	552	732
Mechanic Restraint	98	99	108	131	82	96	79	84	83	76
Shield	52	53	57	61	73	78	91	72	61	79
Strike	98	144	178	199	92	88	83	67	73	
Chemical Agents	55	61	52	50	187	549	1,046	1,660	1,735	
Baton	86	129	132	147	89	114	105	105	92	85
Firearm	0	1	2	6	2	5	0	4	1	1
Other	7	24	22	20	27	27	16		14	
Grand Total	6,145	6,952	7,798	9,185	9,846	11,184	12,179	14,470	13,936	13,470

Note: This table is based upon a count of the total number of incidents and the highest level of force used in each incident and is not a count of all the times that staff used force.

Table 9. Unusual Incident Type by Degree of Staff Injury: January - December 2021

Incident Type	No I	njury	Mi	inor	Mod	derate	Se	rious	S	evere	Total
Incident Type	#	%	#	%	#	%	#	%	#	%	Staff
Accident	1,477	94.1%	18	1.1%	25	1.6%	47	3.0%	2	0.1%	1,569
Assault on Incarcerated Individuals	4,314	97.8%	95	2.2%	1	0.0%	0	0.0%	0	0.0%	4,410
Assault on Staff	4,914	72.3%	1,746	25.7%	94	1.4%	40	0.6%	0	0.0%	6,794
Assault on Other	16	100.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	16
Contagious Disease	2,720	100.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	2,720
Contraband	16,562	99.5%	83	0.5%	2	0.0%	0	0.0%	0	0.0%	16,647
Death	1,913	99.8%	2	0.1%	0	0.0%	0	0.0%	1	0.1%	1,916
Disruption of Facility	152	95.0%	6	3.8%	2	1.3%	0	0.0%	0	0.0%	160
Disruptive Behavior	9,679	91.6%	858	8.1%	17	0.2%	11	0.1%	0	0.0%	10,565
Employee Misconduct	273	100.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	273
Escape Attempt	28	96.6%	1	3.4%	0	0.0%	0	0.0%	0	0.0%	29
Fire	284	77.0%	76	20.6%	8	2.2%	1	0.3%	0	0.0%	369
Mass Demonstration	15	100.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	15
Narcan Use	2,000	99.0%	20	1.0%	0	0.0%	0	0.0%	0	0.0%	2,020
Property Destroyed	26	100.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	26
Property Lost or Stolen	321	100.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	321
Self-Inflicted Injury	238	99.6%	1	0.4%	0	0.0%	0	0.0%	0	0.0%	239
Sexual Misconduct	63	100.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	63
Suicide Attempt	939	97.1%	25	2.6%	3	0.3%	0	0.0%	0	0.0%	967
Temp Release Related	144	100.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	144
Visitor Arrest	909	100.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	909
Weapons Used by Staff	5,057	99.9%	2	0.0%	1	0.0%	0	0.0%	0	0.0%	5,060
Other Incidents	2,227	94.8%	52	2.2%	63	2.7%	6	0.3%	0	0.0%	2,348
Total	54,271	94.3%	2,985	5.2%	216	0.4%	105	0.2%	3	0.0%	57,580

Table 9 details the injuries to DOCCS staff members, including both the number of staff members injured and the extent of their injuries. The previous tables in this report have been incident-based; this section and the one that follows are individual-based. Each number here represents a staff member who was listed in an unusual incident. Staff members can respond to multiple incidents and be counted more than once. This means that instead of the total column representing the total number of incidents, it now represents the total number of staff members who were involved in an incident or incident category.

The majority of staff involved in incidents (94%) were not injured. Assaults on staff had the highest number of staff injuries (1,880). Of those 1,880 injuries, 93% (1,746) resulted in only minor injuries. Accidents had the highest number of serious and severe injuries (49) of any incident type.

Minor injuries are those that require either no treatment, minimal treatment (scratch, bruise, aches/pain) or precautionary treatment. Moderate injuries are those such as lacerations, concussions, 2nd degree burns, serious sprains, dislocation, and muscle or ligament damage. Serious injuries are those that require transport to an outside hospital but are not considered life-threatening at the preliminary report. Severe injuries are those that cause obvious disfigurement, protracted impairment of health, loss or impairment of organ function, amputation, and injuries that risk cause of death.

Table 10. Unusual Incident Type by Degree of Incarcerated Individual Injury:

January - December 2021

Incident Type	No Injury	Minor	Moderate	Serious	Severe	Total Individuals
Accident	41	10	99	187	3	340
Assault on Incarcerated Individuals	802	999	366	92	3	2,262
Assault on Staff	778	500	15	12	0	1,305
Assault on Other	3	0	0	0	0	3
Contagious Disease	5,311	0	0	0	0	5,311
Contraband	4,579	96	4	1	0	4,680
Death	146	0	0	0	7	153
Disruption of Facility	7	0	0	0	0	7
Disruptive Behavior	2,182	1,136	102	186	2	3,608
Employee Misconduct	4	0	0	0	0	4
Escape Attempt	1	1	0	0	0	2
Fire	110	12	1	0	0	123
Mass Demonstration	42	0	0	0	0	42
Narcan Use	516	29	3	3	0	551
Property Destroyed	7	0	0	0	0	7
Property Lost or Stolen	63	0	0	0	0	63
Self-Inflicted Injury	2	4	46	3	0	55
Sexual Misconduct	23	1	0	0	0	24
Suicide Attempt	77	64	40	5	1	187
Temp Release Related	47	0	0	0	0	47
Visitor Arrest	198	0	0	0	0	198
Weapons Used by Staff	3,182	2	2	0	0	3,186
Other Incidents	429	27	2	2	0	460
Total	18,550	2,881	680	491	16	22,618
Percent	82%	13%	3%	2%	0%	100%

Table 10 details the injuries to DOCCS incarcerated individuals, including the number of incarcerated individuals injured and the extent of their injuries. The previous tables in this report have been incident-based; this section is individual-based. Each number here represents an incarcerated individual who was listed in an unusual incident. Incarcerated individuals can be attached to multiple incidents and be counted more than once. This means that instead of the total column representing the total number of incidents, it now represents the total number of incarcerated individuals who were involved in an incident or incident category. Assaults on incarcerated individuals had the highest number of incarcerated individual injuries (1,460), while accidents had the highest number of serious and severe injuries (190).

Prepared by:
Division of Program Planning, Research & Evaluation March 2023